

## Slavery and Human Trafficking Statement

This statement is made on behalf of Maven Group of Companies (“Group”) pursuant to section 54 of the Modern Slavery Act 2015 (“Act”) and constitutes our slavery and human trafficking statement for the financial year ending 30 June 2017.

The Group firmly condemns modern slavery and human trafficking and acknowledges the importance to comply with the Act. The Group always operates in accordance with [its core values](#). We are committed to acting with integrity and fairness in all our business dealings and relationships and to implementing and enforcing effective systems and controls to ensure modern slavery is not taking place anywhere in our own businesses or within those of our suppliers.

The Group has companies in Europe and in Asia with over 100 employees. The Group specialises in market making, proprietary trading and investment management and operates across global financial markets.

The Group operates in the financial services sector and, therefore, considers the risk of modern slavery and human trafficking within its supply chain to be low. The Group’s suppliers mainly include IT providers, professional advisors and services provided by other financial firms. However, the Group is aware that modern slavery and human trafficking can happen in any sector of activity.

As part of the Group’s commitment to prevent unethical behaviour throughout its business, the Group conducts robust background checks for employees and has implemented a Whistleblowing Policy. The Whistleblowing Policy outlines the procedure for employees to report suspected wrongdoings anonymously to the Group or directly to the FCA, including modern slavery and human trafficking.

The Group is also proud to actively promote human rights through its engagement with charities.

This statement has been approved by the relevant Boards of Directors. The statement will be reviewed annually to reflect the Group’s duties under the Act.